## **RESEARCH ARTICLE**

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# A comparison of intensive vs. light-touch quality improvement interventions for maternal health in Uttar Pradesh, India



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## Abstract

**Background:** Poor patient experiences during delivery leads to delayed presentation at facilities and contributes to poor maternal health outcomes. Person-centered maternity care (PCMC) is a key component of quality. Improving PCMC requires changing the process of care which can be complex and necessitate significant external input, making replication and scale difficult. This study compares the effectiveness two Quality Improvement (QI) intervention phases, one Intensive, one Light-Touch.

**Methods:** We use a matched case-control design to compare two phases of a QI Intervention targeting PCMC, with three facilities in each. The Intensive phase was introduced into three government facilities where teams were supported to identify, design, and test potential improvements over 12 months. The Light-Touch phase was subsequently introduced in three other government facilities and changes were tracked over six months. We compared the two groups using multivariate linear regression and difference-in-difference models to assess changes in PCMC outcome

**Results:** Both Intensive and Light-Touch arms demonstrated large improvements in PCMC. On a scale from 0 to 100, Intensive facilities increased in PCMC scores from 85.02 to 97.13, while Light-Touch facilities increased from 63.42 to 87.47. For both there was a 'halo' effect, with a similar improvement recorded for the specific improvement activities focused on, as w ell as aspects of PCMC not directly addressed.

**Conclusions:** This study demonstrates that a short, inexpensive, light-touch and directive intervention can change staff practices and significantly improve the experiences of women during childbirth. It also shows that improvements in a few areas of provider-patient interaction have a 'halo' effect, changing many other aspects of patient-provider interaction at the same time.

**Trial registration:** QI Phase 1 - NCT04208867. Retrospectively registered. December 19th, 2019. QI Phase 2 – NCT04208841. Retrospectively registered. December 23, 2019.

Keywords: Quality improvement, Person-centered care, Maternal health

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## Background

The Maternal mortality ratio (MMR) in Indian states remain high. Uttar Pradesh's MMR is one of the highest in India, reporting 201 deaths per 100,000 live births in 2014–16 [1]. One factor contributing to this high MMR is poor quality care within clinics and hospitals [2]. Poor quality care often deters women from accessing healthcare for both urgent current needs and when posttreatment care or check-ups are indicated; this is true for both poor clinical quality and poor person-centered quality [3-5]. Interventions that improve facility-based care quality are therefore likely to improve utilization of reproductive and intrapartum healthcare and reduce avoidable morbidity and mortality [6]. The importance of person-centered maternity care (PCMC) and client experience is a central component of quality in need of improvement [7].

## Benefits of person-centered maternity care

PCMC includes multiple dimensions of care that patients experience in a facility and the environment in which a woman seeks care. It includes interpersonal interactions, freedom from coercion and abuse, informed and consented care, and provision of respectful care [5, 8]. Higher levels of PCMC are associated with higher patient satisfaction, earlier presentation for care, improved adherence to post-care treatment, and lower overall health care costs [9, 10].

The quality of person-centered maternity care in Uttar Pradesh is low in many settings, especially in public health facilities where 45% of women deliver [11, 12]. Poor person-centered care can delay the recognition of complications, the decision to treat or refer, and limit the amount of information that is shared with a receiving facility, thereby making referrals more complex and generating higher risk of complication for the woman being referred [13]. Poor person-centered care during delivery in Uttar Pradesh has been predicted to have lasting effects on mothers' decisions about post-partum check-ups, well-baby care, and health seeking for future births [4, 14]. The government has acknowledged the problem of poor person-centered maternal care and prioritized respectful and interpersonal care one key area of focus in a recent high-profile national quality improvement initiative [15]. While this initiative is well funded and has broad support, challenges remain [16, 17]. Effective improvement tools are not known, and in an effort to advance change for maternal health, the National Health Mission has partnered on research to identify possible, and best, practices.

## Challenge

Recent large-scale studies in Uttar Pradesh have shown both the potential to change some common practices among both clinical and non-clinical staff, and highlighted the high cost and long-term investments needed to effect those changes [18, 19]. The Quality Improvement (QI) literature has documented both the sustained effect, and the gradual change and simplification of interventions over time in hospital settings [20]. Team-based QI interventions demand significant time and resources commitments and so there has been experimentation in many settings with "Light Touch" variations [21–24]. We conducted a study to see if a lessdemanding Light Touch intervention could produce improvments in person-centered care similar to a fullintensity QI initiative.

## Methods

In collaboration with the National Health Mission (NHM) of Uttar Pradesh, we conducted a matched casecontrol QI intervention to improve the PCMC provided to women delivering in government facilities. Study sites were initially identified through previous participation in the "BetterBirth" study [25], a large-scale, clinical quality improvement research intervention. This was done to ensure that all facilities began with an established acceptable level of clinical care provision. Site selection was also informed by the capacity of facility leadership to support a quality improvement intervention focused on PCMC. Inclusion was restricted to facilities averaging more than 100 deliveries per month to ensure recruitment of enough participants to assess baseline to endline changes in a composite PCMC score. We limited selection to a maximum 4-h travel time from the study offices in Lucknow and included rural locations in only two districts, both broadly reflective of the average socio-economic distribution of Uttar Pradesh state. Sites were selected from low- to mid-level facilities: either a Primary Health Center (PHC) or a Community Health Center (CHC). Nine facilities in Unnao and Kanpur Districts met our criteria: three PHCs and six CHCs. Three facilities (two CHCs and one PHC) were randomly selected for the Intensive intervention and three additional facilities, matched based on delivery volume and level of care, were selected for the Light Touch intervention. The final three facilities were retained as controls for a subsequent stage of the study.

## Intervention phases

## Phase 1: Intensive sites

The three Intensive intervention sites applied the Institute for Healthcare Improvement (IHI) "Improvement Collaborative" model to address PCMC indicators which had been established as priorities through formative studies, and which were determined to be relevant to the facilities from analysis of baseline evaluation performance [26, 27]. In the first phase, the intervention brought representative teams from each facility together every three months to identify potential changes they could introduce to improve. These were subsequently tested by individual teams and revised based on feedback from patients. The team activities were supported by an external advisor who met with each team weekly. The set of process changes which were determined to be effective based on data from exit interviews were collated as a 'Change Package' covering eight aspects of PCMC (see below).

## Phase 2: Light Touch sites

In the second, spread phase, we worked with the facilities that acted as controls during the initial Intensive phase, and encouraged staff to select and introduce relevant process changes from the Change Package developed by teams from the Intensive sites. This Light Touch phase was designed to have limited external input in each facility. At the start of the second phase, representatives from the Light Touch facilities were introduced to the teams who developed the Change Package and heard first-hand of their successes. These staff were then visited for approximately 1.5-2 h every two weeks by the external advisor who had supported the Intensive teams. He provided encouragement for their work, created momentum and responded to any questions. The frequency of these external visits decreased to one per month by the end of the six-month study. Further descriptions of the intervention in the Light Touch sites are available elsewhere [28].

## Data collection

All surveys were developed for this study and are available at https://datadryad.org/stash doi:https://doi.org/10. 7272/Q6BG2M6W as well as in the supplementary file inventory for this paper. The baseline Intensive site survey was conducted in all facilities between September 2016 and March 2017. The endline for the Intensive sites was conducted in two waves between May and December 2018. In total, 285 women were surveyed at baseline and 300 at endline from three Intensive intervention facilities. At the Light Touch sites, baseline surveys were conducted between April and June 2018 (n = 300). Endline surveys were conducted between April and June of 2019 (n = 300). For both Intensive and Light Touch phases, inclusion criteria were women aged 18-49 years who had delivered at the health facility in the last seven days and who were willing and consented to participate. Women who had delivered outside of a participating health facility, were not well enough to participate at the time of recruitment, were less than 18, or who refused to participate following a short explanation about the study purpose were excluded from participating in the survey.

Surveys were conducted using a pre-tested, structured questionnaire. Local investigators were recruited and trained to conduct informed consent and administer the survey via a web-based application. Quality checks (skip patterns, relevance and constraints) were developed in the application and surveys reviewed by the local Research Manager to ensure quality and accuracy. Women who agreed to participate in the study provided verbal consent and each survey took approximately 45 min. All surveys were conducted in person at the health facility by female enumerators, using a tablet-based guide, in the most private setting available.

## **Ethics compliance**

Human subjects approval for this study was received from the Human Research Protection Program Committee on Human Research of the University of California, San Francisco (IRB# 15–18,008, ref. 176,940; 11/09/ 2016). Designated approval was received from Population Services International (OHRB Federalwide Assurance (FWA) #0009154)). Formative research was approved from the Institutional Ethics Committee of the Public Health Foundation of India (TRC-IEC-276/15; May 2, 2016).

## **Outcome variables**

## Person-centered maternity care Indicator

Person-centered maternity care was assessed using the PCMC scale that measures care received within three domains: dignity and respect; communication and autonomy; and supportive care. This scale was validated using survey data specifically from women who had delivered in Uttar Pradesh and contains 27 items to measure a woman's PCMC experience at the facility [29]. Four items could not be matched between baseline and endline. Each item asked about frequency of personcentered experiences or care received and scores on individual items ranged from 0 to 3 (0 "No never"; 1 "Yes, a few times"; 2 "Yes, most of the time"; 3 "Yes, all of the time"). Responses that were recorded as "not applicable" were conservatively recoded to receive the highest score. Total PCMC scores were calculated by summing all items for each participant, ranging from zero to 69 points. Final total PCMC and subdomain scores were scaled to 100-point scales.

## Change package indicators

We investigated eight targeted PCMC indicators that were the focus of the Change Package, hereby referred to as "Change Package PCMC score". These eight indicators include the following: 1.) Provider introduction; 2.) Assurance of visual privacy during exams; 3.) Ability to labour and deliver in the woman's position of choice; 4.) Cleanliness of toilets/washrooms 5.) Provision of pain medication; 6.) Explanation of medicines and procedures; 7.) Cleanliness of the postnatal ward; and 8.) Assisting the recently delivered woman to the toilet. The latter 3 items were not represented in the PCMC scale, but responded to change ideas prioritized by the facilities and their patients. Total scores for each participant summed all items and could range from zero to 24 points. To assist with interpretability, the eight specific PCMC indicators were also scaled to 100-point scales.

## Non-change package ("halo") indicators

To examine the impact of the Light Touch intervention on other indicators not targeted by thechange package, we constructed a 'Non-Change Package PCMC score' comprising all items in the PCMC scale except the first four items which were included in the Change Package as noted above and described in Table 2. Assessing changes in these indicators was intended to measure a 'halo effect', of differences in behaviors indirectly caused by the work on targeted indicators. Total scores could range from zero to 57 points and were also scaled to a 100-point scale.

## Other associated variables

We examined factors that may be associated with PCMC and other outcomes including socioeconomic factors, pregnancy characteristics, and provider characteristics. We investigated the distributions of age, parity, wealth, religion, caste, literacy, education, number of antenatal care (ANC) visits, pregnancy complications, facility type, as well as type and gender of delivery assistant. Wealth was assessed by a modified EquityTool based on India NFHS4 [Released March 30, 2019], equitytool.org, maintained by Metrics for Management.

## Analysis

We conducted three sets of analyses to assess the impact of the Light Touch phase compared to the Intensive phase on 1) total PCMC scores, 2) Change Package PCMC scores that were worked on by facilities and 'Halo Effect' indicators, and 3) sub-domains of the total PCMC. Differences between treatment groups at each phase were assessed by cross-tabulations, chi-square tests, and t-tests. We constructed multivariate linear regression difference-in-differences models for each set of analyses to evaluate the impact of the intervention on various outcomes. Our models used the general equation:

$$Y = \beta_0 + \beta_1 X_{Endline} + \beta_2 X_{L-T} + \beta_3 X_{Endline*L-T} + \beta_4 X_{covariates} + \varepsilon$$

For example, in models assessing the impact of the interventions on PCMC scores, the term  $\beta_1$  estimates the average difference in PCMC score between endline and baseline for the intervention group,  $\beta_2$  estimates the average difference in PCMC score between Light Touch and Intensive groups at baseline, and  $\beta_3$  is the interaction term or 'difference-in-differences estimator' which estimates the difference in PCMC score slopes between the Light Touch and Intensive groups over time, adjusting for covariates. We tested for homogeneity of variance and used robust standard errors (Eicker-Huber-White) to correct for heteroskedasticity and clustering. Final multivariate models adjusted for age, parity, education, wealth, religion, caste, facility type, delivery pronumber of ANC visits, and pregnancy vider, complications. One key assumption of difference-indifferences models is that groups with and without treatment would follow similar trends. We were unable to assess this empirically because pre-intervention data was collected at only one time point. However, this assumption was considered reasonable because 1) facilities were matched on key characteristics and 2) statistical models adjusted for potential confounders relating to socioeconomic status, health factors, and characteristics of care described above. Because the composition of groups appeared different, we also performed sensitivity analyses using propensity score matching to assess selection effects. These results indicated that our estimates of the interventions appear to be robust (Supplement 1). Stata SE 15.1 was used for all analyses and statistical significance was established at an alpha level of 0.05.

## Results

## **Demographic characteristics**

No women older than 45 were recruited at any of our sites. At baseline, participants at Light Touch sites had greater wealth and higher education than those at Intensive phase facilities (Table 1). Intensive facilities' participants attended fewer ANC visits than Light Touch participants at baseline, but more at endline. More participants at Intensive facilities had pregnancy complications than those at Light Touch facilities at baseline, but no significant difference was observed at endline. Across time, deliveries assisted by ANMs, Anganwadi workers and ASHAs (community health workers) increased in Light Touch facilities, whereas nurse, physician and Midwife/Dai assisted deliveries increased at Intensive facilities between survey rounds.

## Impact of the intervention: Total PCMC score, change package PCMC score, and PCMC sub-domains

Out of a 100-point scale, unadjusted overall mean PCMC score in Light Touch facilities increased 24.03 points from 63.42 (SD 11.44) at baseline to 87.47 (SD 8.31) at endline (Table 2). Mean PCMC score at

## Table 1 Characteristics of participants, by Light Touch / Intensive groups and survey round

	Baseline				Endline					
	Light-Touch	Intensive	Total	р	Light-Touch	Intensive	Total	р		
Total number in group	300	285	585		300	300	600			
Age (%)										
18–19 years	8 (2.7%)	6 (2.1%)	14 (2.4%)	0.647	3 (1.0%)	6 (2.0%)	9 (1.5%)	0.524		
20–29 years	254 (84.7%)	236 (82.8%)	490 (83.8%)		258 (86.0%)	251 (83.7%)	509 (84.8%)			
30–45 years	38 (12.7%)	43 (15.1%)	81 (13.8%)		39 (13.0%)	43 (14.3%)	82 (13.7%)			
Number of births (%)										
1	124 (41.3%)	105 (36.8%)	229 (39.1%)	0.022	92 (30.7%)	122 (40.7%)	214 (35.7%)	0.012		
2	98 (32.7%)	95 (33.3%)	193 (33.0%)		110 (36.7%)	79 (26.3%)	189 (31.5%)			
3	53 (17.7%)	39 (13.7%)	92 (15.7%)		65 (21.7%)	57 (19.0%)	122 (20.3%)			
4 or more	25 (8.3%)	46 (16.1%)	71 (12.1%)		33 (11.0%)	42 (14.0%)	75 (12.5%)			
Wealth Quintiles (%)										
Poorest	43 (14.3%)	141 (49.5%)	184 (31.5%)	< 0.001	32 (10.7%)	54 (18.0%)	86 (14.3%)	< 0.00		
Poorer	65 (21.7%)	47 (16.5%)	112 (19.1%)		38 (12.7%)	53 (17.7%)	91 (15.2%)			
Middle	57 (19.0%)	36 (12.6%)	93 (15.9%)		55 (18.3%)	81 (27.0%)	136 (22.7%)			
Richer	56 (18.7%)	32 (11.2%)	88 (15.0%)		76 (25.3%)	59 (19.7%)	135 (22.5%)			
Richest	79 (26.3%)	29 (10.2%)	108 (18.5%)		99 (33.0%)	53 (17.7%)	152 (25.3%)			
Religion (%)										
Hindu	284 (94.7%)	262 (91.9%)	546 (93.3%)	0.203	281 (93.7%)	275 (91.7%)	556 (92.7%)	0.347		
Muslim/Other	16 (5.3%)	23 (8.1%)	39 (6.7%)		19 (6.3%)	25 (8.3%)	44 (7.3%)			
Caste (%)										
Scheduled Caste	128 (42.7%)	147 (51.6%)	275 (47.0%)	< 0.001	139 (46.3%)	151 (50.3%)	290 (48.3%)	0.339		
Scheduled Tribe	1 (0.3%)	7 (2.5%)	8 (1.4%)		2 (0.7%)	5 (1.7%)	7 (1.2%)			
General Caste	48 (16.0%)	75 (26.3%)	123 (21.0%)		39 (13.0%)	29 (9.7%)	68 (11.3%)			
OBC	123 (41.0%)	56 (19.6%)	179 (30.6%)		120 (40.0%)	115 (38.3%)	235 (39.2%)			
Literate (%)										
No	34 (11.3%)	88 (30.9%)	122 (20.9%)	< 0.001	23 (7.7%)	60 (20.0%)	83 (13.8%)	< 0.00		
Yes	266 (88.7%)	197 (69.1%)	463 (79.1%)		277 (92.3%)	240 (80.0%)	517 (86.2%)			
Highest grade/class complete	ed (%)									
No education	39 (13.0%)	88 (30.9%)	127 (21.7%)	< 0.001	30 (10.0%)	61 (20.3%)	91 (15.2%)	< 0.00		
Primary or post-primary	112 (37.3%)	117 (41.1%)	229 (39.1%)		136 (45.3%)	151 (50.3%)	287 (47.8%)			
Secondary or higher	149 (49.7%)	80 (28.1%)	229 (39.1%)		134 (44.7%)	88 (29.3%)	222 (37.0%)			
Number ANC visits (%)										
No ANC	1 (0.3%)	8 (2.8%)	9 (1.5%)	< 0.001	0 (0.0%)	0 (0.0%)	0 (0.0%)	< 0.00		
Less than 4	98 (32.%)	185 (64.9%)	283 (48.4%)		107 (35.7%)	97 (32.3%)	204 (34.0%)			
4 or 5	96 (32.0%)	64 (22.5%)	160 (27.4%)		146 (48.7%)	66 (22.0%)	212 (35.3%)			
6 plus	105 (35.0%)	28 (9.8%)	133 (22.7%)		47 (15.7%)	137 (45.7%)	184 (30.7%)			
Problems during pregnancy										
No	184 (61.3%)	84 (29.5%)	268 (45.8%)	< 0.001	209 (69.7%)	212 (70.7%)	421 (70.2%)	0.789		
Yes	116 (38.7%)	201 (70.5%)	317 (54.2%)		91 (30.3%)	88 (29.3%)	179 (29.8%)			
Facility Type (%)										
Gov't Health Center	200 (66.7%)	193 (67.7%)	393 (67.2%)	0.786	200 (66.7%)	200 (66.7%)	400 (66.7%)	1.000		
Gov't Hospital	100 (33.3%)	92 (32.3%)	192 (32.8%)		100 (33.3%)	100 (33.3%)	200 (33.3%)			
Delivery Assistant (%)	100 (55.570)	52 (52.570)	192 (32.070)		100 (55.576)	100 (33.370)	200 (55.570)			

	Baseline			Endline					
	Light-Touch	Intensive	Total	р	Light-Touch	Intensive	Total	р	
Nurse/Doctor	112 (37.%)	35 (12.3%)	147 (25.1%)	< 0.001	11 (3.7%)	83 (27.7%)	94 (15.7%)	< 0.001	
Midwife/Dai	153 (51.0%)	31 (10.9%)	184 (31.5%)		18 (6.0%)	216 (72.0%)	234 (39.0%)		
ASHA/Angawali	32 (10.7%)	13 (4.6%)	45 (7.7%)		191 (63.7%)	1 (0.3%)	192 (32.0%)		
Other/Non-skilled attendant	3 (1.0%)	206 (72.3%)	209 (35.7%)		80 (26.7%)	0 (0.0%)	80 (13.3%)		
Gender of delivery (%) assista	nt								
Male	1 (0.3%)	0 (0.0%)	1 (0.2%)	0.329	0 (0.0%)	1 (0.3%)	1 (0.2%)	0.317	
Female	299 (99.7%)	285 (100.0%)	584 (99.8%)		300 (100.0%)	299 (99.7%)	599 (99.8%)		

Table 1 Characteristics of participants, by Light Touch / Intensive groups and survey round (Continued)

Intensive facilities increased 12.01 points, from 85.02 (SD 8.12) to 97.13 (SD 2.91).

In Intensive and Light-Touch groups, there was improvement in both the Change Package indicators specifically targeted for change, and the Non-Change Package "Halo" indicators, which were not targeted. In Light-Touch facilities Change-Package PCMC score increased 20.65 points between survey rounds, from 42.71 (SD 16.15) to 83.36 (SD 13.51). In Intensive facilities they increased 26.04 points, from 69.36 (SD 12.56) to 95.4 (SD 7.61).

The Non-Change Package "Halo" indicators increase 20.16 points in Light-Touch facilities (68.65 (SD 11.87) to 88.81 (SD 8.57)); and 6.82 in Intensive facilities (91.23 (SD 8.69) to 98.05 (SD 2.14)).

Subdomains also increased in both Light-Touch and Intensive facilities (Table 2).

Our Difference-in-Difference regression analysis was conducted to adjust for demographic characteristics, facility type, provider factors, and pregnancy complications. The results show the increase in mean total PCMC scores at Light Touch facilities over time was greater than Intensive facilities (Fig. 1). Compared to Intensive intervention facilities, the Light Touch facilities' adjusted total PCMC scores increased an average of 16.15 points (95% CI: 13.47, 18.83) (Table 3; Table 4). For the Change Package PCMC score, Light Touch facilities increased 11.75 points more (95% CI: 7.33, 16.17) compared to Intensive intervention facilities across survey rounds. Non-change Package score at Light Touch facilities increased 16.79 points (95%CI: 14.12, 19.45) relative to Intensive facilities between survey rounds (Fig. 2).

## Discussion

These results contribute to broader QI efforts by demonstrating that a light touch, less intensive QI method may improve women's experiences of care. Person-centered care, as measured through self-reported patient experience during childbirth, improved significantly at all three facilities which received a year-long, intensively supported team-based collaborative QI intervention. PCMC also improved significantly at all three facilities which subsequently participated in a six-month-long Light Touch phase, with limited and decreasing external support and lower demands on time and input from facility staff and clinicians.

The Intensive facilities, beginning from a higher baseline PCMC score than the Light-Touch facilities, and limited by the upper bound of the scale, were constrained in the absolute potential improvement they could achieve. The similarity of results from both study arms are noteworthy for facilities in low-resource settings where a full QI methodology may not be feasible.

Our findings show only minor differences between the set of activities within the PCMC Scale which are measures of specifically targeted processes included in the "Change Package", and therefore emphasized for improvement during both Intensive and Light Touch phases, and the remaining 19 indicators within the PCMC scale which measure activities or behaviors not targeted for change. Results indicate that PCMC improved for both Change-Package specific indicators and for non-Change-Package indicators. The increase in PCMC was higher for non-Change Package indicators. The greatest change in PCMC score was for the Communication & Autonomy subdomain of PCMC. This may be due to the greater ability of providers to change interpersonal behaviors such as calling the patient by their name or introducing themselves as opposed to broader health facility environment changes (i.e. Supportive care sub-domain) or respect and dignity.

This study has a number of limitations. First, there were only a small number of facilities in each arm. We made an effort to match both arms based on existing facility information but we were not able to adjust for clustering effect given the small numbers. However, we used robust standard errors to correct for heteroskedasticity that may have arisen due to facility-level effects. Second, all the facilities had also previously been part of a major quality of care Intervention focused on improving clinical quality for delivery through use of a validated childbirth checklist. As a result, the responsiveness to change interventions among staff may not be reflective

**Table 2** Mean PCMC Scores for Full Scale, Change Package and Non-Change Package Indicators, and Sub-Domains, by Light Touch/ Intensive groups and survey round<sup>a</sup>

	Bas	eline					Endline					
	Ligi	nt-Toucl	h	Inte	nsive		Ligł	nt-Toucl	า	Intensive		
	Ν	Mean	SD	Ν	Mean	SD	Ν	Mean	SD	Ν	Mean	SD
Total PCMC score												
PCMC total sum (23 indicators)	300	63.42	(11.44)	285	85.02	(8.12)	300	87.47	(8.31)	300	97.13	(2.91)
Change Package PCMC total sum (8 indicators)	300	42.71	(16.15)	285	69.36	(12.56)	300	83.36	(13.51)	300	95.4	(7.61)
Non-Change Package total sum (19 items)	300	68.65	(11.87)	285	91.23	(8.69)	300	88.81	(8.57)	300	98.05	(2.14)
Dignity and Respect domain subtotal (5 indicators)	300	77.42	(15.65)	285	94.41	(9.93)	300	85.33	(10.75)	300	98.22	(3.63)
Communication and Autonomy domain subtotal (7 indicators)	300	40.98	(16.24)	285	78.56	(13.06)	300	84.67	(16.19)	300	96.89	(5.37)
Supportive Care domain subtotal (11 indicators)	300	71.33	(11.57)	285	84.87	(9.82)	300	90.22	(7.24)	300	96.78	(3.00)
Specific Indicators												
Dignity and Respect Domain												
Treated with respect <sup>b</sup>	300	2.14	(0.76)	285	2.95	(0.28)	300	2.68	(0.53)	300	3	(0.00
Visual privacy	300	1.64	(1.01)	285	2.44	(1.17)	300	2.77	(0.53)	300	2.99	(0.17)
Record confidentiality <sup>b</sup>	300	2.18	(0.90)	285	2.8	(0.64)	300	1.53	(1.18)	300	2.76	(0.51)
Verbal abuse <sup>b</sup>	300	2.69	(0.68)	285	2.98	(0.17)	300	2.89	(0.49)	300	2.99	(0.11
Physical abuse <sup>b</sup>	300	2.96	(0.27)	285	3	(0.00)	300	2.92	(0.46)	300	3	(0.00
Communication and Autonomy Domain												
Introduce self	300	0.09	(0.30)	285	0.36	(0.97)	300	1.87	(1.11)	300	2.61	(0.74
Involvement in care <sup>b</sup>	300	1.08	(1.11)	285	2.82	(0.52)	300	2.49	(0.76)	300	2.93	(0.25
Delivery position choice	300	1.22	(1.11)	285	2.81	(0.63)	300	2.92	(0.34)	300	2.91	(0.36
Language <sup>b</sup>	300	2.45	(0.78)	285	2.62	(0.88)	300	2.76	(0.48)	300	2.97	(0.17
Explain exams/procedures <sup>b</sup>	300	0.68	(0.91)	285	2.83	(0.49)	300	2.49	(0.77)	300	2.94	(0.24
Explain medicines <sup>b</sup>	300	1.06	(1.24)	285	2.21	(1.19)	300	2.57	(0.72)	300	3	(0.06
Able to ask questions <sup>b</sup>	300	2.02	(0.91)	285	2.85	(0.55)	300	2.68	(0.51)	300	2.98	(0.15
Supportive Care Domain												
Time to care <sup>b</sup>	300	2.44	(0.78)	285	3	(0.00)	300	2.84	(0.43)	300	2.91	(0.30
Labor support <sup>b</sup>	300	2.75	(0.57)	285	2.89	(0.41)	300	2.96	(0.20)	300	3	(0.00)
Delivery support <sup>b</sup>	300	2.72	(0.60)	285	2.92	(0.42)	300	2.98	(0.14)	300	3	(0.00)
Attention when need help <sup>b</sup>	300	1.98	(0.80)	285	2.85	(0.47)	300	2.65	(0.49)	300	2.99	(0.10
Bribes <sup>b</sup>	300	2.28	(0.71)	285	1.35	(1.24)	300	2.65	(0.68)	300	2.55	(0.60
Control pain <sup>b</sup>	300	1.61	(0.80)	285	2.45	(1.03)	300	2.68	(0.48)	300	2.95	(0.22
Enough staff <sup>b</sup>	300	1.87	(0.70)	285	2.77	(0.70)	300	2.53	(0.57)	300	2.98	(0.25
Took best care <sup>b</sup>	300	1.81	(0.62)	285	2.86	(0.46)	300	2.59	(0.50)	300	2.99	(0.11
Trust <sup>b</sup>	300	2.14	(0.81)	285	2.94	(0.32)	300	2.82	(0.42)	300	2.97	(0.16
Clean bathroom	300	1.68	(0.62)	285	1.06	(1.29)	300	2.17	(0.51)	300	2.61	(0.51
Safe <sup>b</sup>	300	2.26	(0.81)	285	2.93	(0.31)	300	2.89	(0.31)	300	2.99	(0.10
Change Package												
Introduction	300	0.09	(0.30)	285	0.36	(0.97)	300	1.97	(1.13)	300	2.61	(0.74
Privacy	300	1.64	(1.01)	285	2.44	(1.17)	300	2.71	(0.63)	300	2.99	(0.17
Position of choice	300	1.22	(1.11)	285	2.81	(0.63)	300	2.92	(0.34)	300	2.85	(0.48
Clean bathroom		1.68	(0.62)		1.06	(1.29)		2.59	(0.76)		2.83	(0.57)
Explain test and medicine purpose		0.89	(0.97)		2.21	(1.19)		2.38	(0.92)		2.85	(0.51)
Pain medicines given when needed		1.27	(0.83)		2.45	(1.03)		2.29	(0.84)		2.99	(0.13)

	Base	Baseline						Endline					
	Ligh	Light-Touch			Intensive			Light-Touch			Intensive		
	N	Mean	SD	N	Mean	SD	N	Mean	SD	N	Mean	SD	
Helped to the toilet	300	1.31	(1.25)	285	2.68	(0.76)	300	2.41	(1.07)	300	2.88	(0.48)	
Clean post-natal care ward	300	2.15	(0.86)	285	2.64	(0.76)	300	2.74	(0.48)	300	2.89	(0.33)	

**Table 2** Mean PCMC Scores for Full Scale, Change Package and Non-Change Package Indicators, and Sub-Domains, by Light Touch/ Intensive groups and survey round<sup>a</sup> (*Continued*)

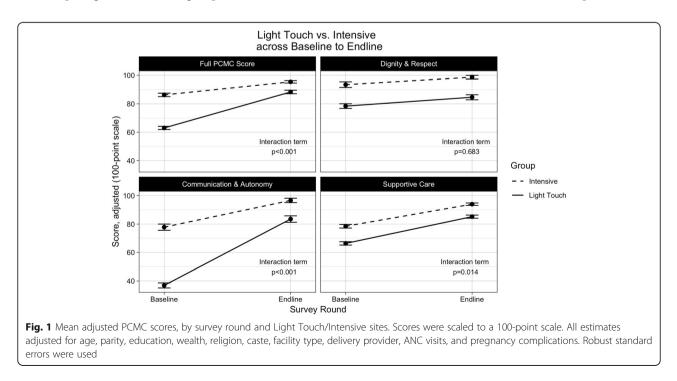
<sup>a</sup>Total, change package, non-change package and subdomain scores were scaled to a 100-point scale

<sup>b</sup>Denotes Non-change package indicator

of facilities that don't have this prior experience. Moreover, broader government-focused initiatives on quality improvement may have influenced these results. For example, a government-sponsored, national campaign to improve the cleanliness of public facilities during the period of the intervention may have influenced results in PCMC indicators focused on cleanliness of the washrooms and postnatal wards across both arms. Towards the end of the Intensive phase, the project's facilities also received attention to a phased expansion of the Government of India's national initiative to improve the clinical and experiential quality of care in labor rooms and maternity hospitals [15]. This may have influenced the receptiveness of facility leadership to the improvement Interventions in this study, particularly in the lighttouch phase. Third, there may have been a Hawthorne effect, through which facility staff changed the intervention activities knowing they would be assessed. We attempted to control for this by measuring aspects of PCMC which were not the target of intervention actions and comparing across the two groups.

## Conclusion

The sustained time commitment by facility staff and clinicians, and the transport and external expertise needed to lead a facility through the team-based Quality Improvement efforts to develop effective process changes are all necessary for effective initiatives. The costs are high, however, and are likely the reason that QI initiatives are increasingly common in OECD countries, and remain rare in Low- and Middle-Income Countries (LMICs). QI interventions do not appear to have changed practices widely in LMICs. We assume that the cost and complexity of implementation is at least part of the reason for this. Added to this already significant barrier, focusing on person-centered care is more challenging than focusing on clinical care in all settings. Where competition for limited resources exists, prioritizing infrastructure and clinical care improvements often takes precedence for both health and political reasons. Our own past research has shown that as providers become overworked and service volumes increase, better clinical care is often at odds with better, more personal, care



**Table 3** Difference-in-Differences analyses of Light Touch vs. Intensive Intervention on PCMC scores. Measured for Full Scale, Change Package, and Non-Change Package items, as well as PCMC Sub-Domains<sup>a</sup>

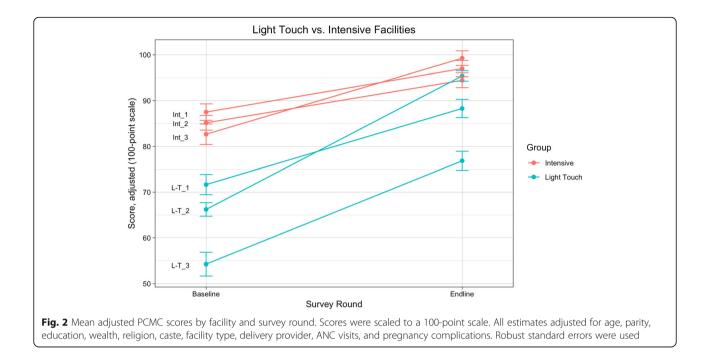
	Survey Round: Endline (reference Baseline)	Treatment Group: Light Touch (reference Intensive Intervention)	Interaction term
Full PCMC sco	ore, 23 items (unadjusted)		
Coefficient	12.10	-21.60	11.95
95%CI	(10.76, 13.45)	(-22.95, -20.26)	(10.06, 13.84)
<i>p</i> -value	0.000	0.000	0.000
Full PCMC sco	ore, 23 items (adjusted)		
Coefficient	9.16	-23.27	16.15
95%CI	(7.50, 10.83)	(-25.02, -21.51)	(13.47, 18.83)
<i>p</i> -value	0.000	0.000	0.000
Change Packa	ge score, 8 items (adjusted)		
Coefficient	26.94	-25.52	11.75
95%CI	(24.03, 29.85)	(-28.33, -22.70)	(7.33, 16.17)
<i>p</i> -value	0.000	0.000	0.000
Non-Change I	Package score, 19 items (adjusted)		
Coefficient	4.54	-23.70	16.79
95%CI	(2.88, 6.21)	(-25.49, -21.91)	(14.12, 19.45)
<i>p</i> -value	0.000	0.000	0.000
Dignity & Res	pect (adjusted)		
Coefficient	5.21	-15.07	1.01
95%CI	(2.26, 8.16)	(-18.05, -12.09)	(-3.84, 5.86)
<i>p</i> -value	0.001	0.000	0.683
Communicatio	on and Autonomy (adjusted)		
Coefficient	18.84	-40.90	27.78
95%CI	(15.77, 21.90)	(-44.01, -37.79)	(22.86, 32.70)
<i>p</i> -value	0.000	0.000	0.000
Supportive Ca	re (adjusted)		
Coefficient	15.53	-12.07	3.29
95%CI	(13.89, 17.18)	(-13.86, -10.28)	(0.67, 5.92)
<i>p</i> -value	0.000	0.000	0.014

<sup>a</sup>Adjusted estimates controlled for age, parity, education, wealth, religion, caste, facility type, delivery provider, ANC visits, and pregnancy complications. Robust standard errors were used

	Baseline						Endli	Unadjusted					
	Light-Touch		Intensive			Light Touch			Intensive			difference	
	N	Mean	SD	N	Mean	SD	N	Mean	SD	N	Mean	SD	
Facility													
L-T_1 PHC	100	70.65	(7.38)				100	89.29	(5.07)				18.64
L-T_2 CHC	100	67.84	(7.19)				100	93.78	(4.44)				25.94
L-T_3 CHC	100	51.77	(8.93)				100	79.33	(7.27)				27.56
Intensive 1 PHC				105	86.87	(6.73)				100	97.67	(2.64)	10.8
Intensive 2 CHC				92	82.14	(10.46)				100	97.23	(3.14)	15.09
Intensive 3 CHC				88	85.84	(5.75)				100	96.48	(2.85)	10.64

 Table 4 Mean PCMC Scores for Light Touch / Intensive Facilities, by survey round (unadjusted)<sup>a</sup>

<sup>a</sup>*PHC* Primary Health Care center; CHC = Community Health Center



[30]. Provider stress, and facility management, were shown to be critical contextual pre-conditions for being open to improvement, and anecdotally reported by research teams for this study as well [31].

In this context, our findings are particularly important. This study provides evidence that once effective process changes are identified through a locally developed "Change Package" they can be effectively introduced into facilities without long-duration, high-intensity, support, and that this 'lighter-touch', less expensive, method of introduction can achieve similar outcomes. This offers a major opportunity for health systems where demonstrated packages of process changes have been identified to replicate and spread that package of changes widely. In addition, the development of a local change package with its high cost may be more acceptable if there is evidence its benefits can be spread across a much wider system with modest marginal costs.

Beyond this, our research provides insights into an important question about whether PCMC interventions change only specific, targeted, behaviors, or change overall attitudes and practices which effect how providers interact with patients more broadly. This shows that the positive changes in provider and staff practices on actions such as assuring cleanliness and calling patients by name – identified, defined, and targeted for change through collaborative process (Intensive intervention) – spurred more broad-reaching changes in how providers and staff engaged with patients. For example, using the patients' preferred language when speaking to them, or assuring patients were informed about the intent of interventions or the results of tests. These kinds of actions also improved even though not the focus of change actions identified through the intervention. Because of the small number of facilities involved the findings from this study will need to be replicated to be sure of their broader applicability; nonetheless, they offer a way forward for quality focused intervention efforts. Taken together, these results provide evidence of the potential for improving PCMC in a low-resource setting.

## Supplementary Information

The online version contains supplementary material available at https://doi.org/10.1186/s12913-020-05960-6.

Additional file 1.		
Additional file 2.		
Additional file 3.		
Additional file 4.		
Additional file 5.		
Additional file 6.		

### Abbreviations

ANC: Antenatal Care; CHC: Community Health Center; LMIC : Low- and Middle-Income Countries; MMR: Maternal mortality rates; NHM: National Health Mission; OECD: Organization for Economic Co-operation and Development; PCMC: Person-centered maternity care; PHC: Primary Health Center; QI: Quality Improvement

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## Authors' contributions

DM and MS led the overall study design. DM lead the writing of the manuscript and MN led the analysis. CG and KG led the intervention methods development, intervention design, and supervision, with support from KPR and KS. ABS, KG, and KPR led data collection. MN and MS led the

data analysis. KG, MN, CG, and MS all contributed to the writing. All authors provided input on data interpretation, editing, and approved the final manuscript.

## Authors' information

Not applicable

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## Availability of data and materials

The datasets generated and/or analyzed during the current study are available at the Dryad repository. https://datadryad.org/stash/dataset/doi:10. 7272/Q6BG2M6W

## Ethics approval and consent to participate

Human subjects approval for this study was received from the Human Research Protection Program Committee on Human Research of the University of California, San Francisco (IRB# 15-18008, ref. 176940; 11/09/ 2016). Designated approval was received from Population Services International (OHRB Federalwide Assurance (FWA) #0009154)). Formative research was approved by the Institutional Ethics Committee of the Public Health Foundation of India (TRC-IEC-276/15; May 2, 2016). The Institutional Ethics Committee of the Public Health Foundation of India is registered by the Drug Controller General of India under the Central Drugs Standard Control Organization, Government of India. Literacy among women in Uttar Pradesh was 57% at the last census in 2011 and lowest in the most disadvanged economic, caste, and ethnic groups. To assure inclusion of all respondents, and in light of the low risk to participating women, all IRBs approved verbal consent for participation in the study as described in detail according to the following process. All study participants were read an informed consent form in their language of choice, and prior to enrollment their agreement was confirmed either by respondent signature, respondent marking "x", or the researcher marking "yes" on a mobile survey device for respondents not able to sign their names. Particpants were give a paper copy of the consent form. No participants under 18 years old were accepted into the study.

## Consent for publication

Not applicable.

## **Competing interests**

The authors declare that they have no competing interests.

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